

INDUSTRY

Investment Management

Our team is at the forefront of all aspects of investment management.



Related Expertise

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The investment management industry in Canada is always under the watchful eye of government regulatory bodies, the media and the public. In the current economic environment, companies continue to navigate those challenges alongside heightened expectations from clients and investors to address mounting societal and business challenges while growing market share by introducing new and innovative products.

Climate protection and sustainability are becoming important differentiators and many asset owners are making ambitious commitments around net zero emissions. An increasing number of regulators are pushing forward with environmental, social and governance (ESG) disclosure requirements for financial players. Those investment managers who are well-versed in ESG issues, and who can help clients make informed decisions in this area, will be well-positioned.

How we can help

At Osler, our Investment Management team advises on a wide range of fund formation projects, including exchange-traded funds, mutual funds, pooled funds, closed-end funds, hedge funds, and other public and private products and investment strategies. Our lawyers also provide clients with ongoing advice on their investment management and marketing and distribution activities, as well as registration, regulatory and compliance matters, taking into account their risk profiles and unique needs.

By drawing on our team of experts who specialize in securities regulation, tax, pensions and corporate law, Osler gives clients strategic and agile legal advice on their investment management activities. Our firm is at the forefront of all aspects of investment management, from investigations to dispute resolution, and has extensive experience handling litigation related to securities class actions, regulation and other issues that investment management firms may encounter and need to prepare for.

If your operations are cross-border, our team has significant expertise advising U.S., U.K, and other non-Canadian investment managers concerning their Canadian business activities.

Key Contacts



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Awards and Recognition

- **Chambers Canada: Canada's Leading Lawyers for Business: Recognized** in Investment Funds (Band 1); Investment Funds: Fund Formation (Band 1)
 - "The individual lawyers and their expertise have been a tremendous asset to us. They are extremely knowledgeable, particularly in the area of mutual funds."
 - "They understand our needs, risk profile, and conditions under which we operate. I have ultimate confidence that they are looking at the transaction in the same way as we are."
- **The Canadian Legal Lexpert Directory:** Recognized in Investment Funds & Asset Management (Toronto, Ontario; Montreal, Quebec)
- **Who's Who Legal:** Osler lawyers recognized in Private Funds