



Gawain Chan

Counsel, Corporate

New York

(212) 991-2505

gchan@osler.com

Bar Admission: Ontario, 2004 | New York, 2004 | British Columbia, 2003

Areas of Expertise

- Investment Management
- Securities Regulatory
- Investment Funds
- Capital Markets
- Financial Services

Gawain Chan is a counsel in Osler’s Corporate group, where his practice is focused in the areas of corporate and securities law. Gawain advises clients with respect to cross-border public and private offerings of securities, investment funds, private equity funds and other alternative investment vehicles. He also counsels Canadian and international clients on dealer, adviser and investment fund manager registration and exemptive relief application matters, the regulation of commodity futures and derivatives and marketplace regulation.

Gawain advises clients with respect to compliance and regulatory matters affecting dealers and advisers, including compliance with the dealer-member and market integrity rules of the Investment Industry Regulatory Organization of Canada and the rules of Canadian marketplaces including the Toronto Stock Exchange, TSX Venture Exchange and Bourse de Montréal.

Credentials

Education

University of Western Ontario, LL.B. University of British Columbia, B.A. University of British Columbia, B.Sc. 1993

Languages

- English

Professional Affiliations

- Law Society of British Columbia
- Law Society of Ontario
- New York State Supreme Court Appellate Division (Third Judicial Department)
- American Bar Association
- Canadian Bar Association
- New York State Bar Association