



Lawrence E. Ritchie

Partner, Disputes

Chair: Risk Management and Crisis Response

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Bar Admission: Ontario, 1989

Areas of Expertise

Government and Public Sector

Professional Services

Anti-corruption and Bribery

Fintech

Banking and Financial Services

Investment Management

Retail and Consumer Products

Government Investigations and

White-Collar Defence

Regulatory Investigations

Financial Services Regulatory

Securities Regulatory

Disputes

Investment Funds

Public Funds

Private Equity and Investment Fund

Disputes

Digital Assets and Blockchain

Competition Disputes

Corporate and Securities Disputes

Competition/Antitrust

Capital Markets

Corporate Governance

Corporate and Commercial Disputes

Lawrence Ritchie is a Partner in the Disputes group at Osler, Hoskin & Harcourt LLP. He is a litigator and a former securities regulator. He chairs Osler's cross-disciplinary Risk Management and Crisis Response practice group and co-leads its national Capital Markets Regulatory Enforcement team.

Among other things, he advises public corporations, their directors, officers, and in-house counsel on avoiding, preparing for, managing and responding to extraordinary "crisis" situations, including regulatory investigations and actions that flow from them.

He acts for clients in connection with conducting and responding to internal and regulatory investigations, and defends parties in regulatory proceedings, class actions and related litigation. He has extensive experience, in particular in identifying and addressing regulatory and other enforcement issues encountered by participants in the Canadian capital markets, as well as on corruption matters, providing proactive corporate governance and other risk management advisory services, and represents clients when necessary to address relevant matters with regulators, self-regulatory organizations and in the courts. He has appeared at all levels of courts in Canada, including the Supreme Court of Canada, and numerous administrative tribunals and repeatedly been recognized as one of Canada's leading securities litigators in legal ranking services including *Chambers Canada*, *Lexpert* including *Lexpert/American Guide to the Leading 500 Lawyers in Canada*, *Benchmark Litigation Canada* and *Best Lawyers*.

In 2007, Larry was appointed Vice-Chair of the Ontario Securities Commission, and served as Executive Vice-President and Senior Policy Advisor to the Canadian Securities Transition Office (the organization supporting the federal government's initiative for a Canadian common securities regulator) from late 2009 to 2014, returning to rejoining Osler thereafter. In 2015-2016, Larry served as a member of the 3-person expert panel which recommended that the Ontario government establish the Financial Services Regulatory Authority (FSRA), to replace the Financial Service Commission of Ontario (FSCO) and the Deposit Insurance Corporation of Ontario (DICO) to regulate much of the financial services and pension sectors in Ontario. The report was delivered in March 2016 and its recommendations largely implemented. In 2018, Larry was appointed as a member of FSRA Board of Directors, on

which he served until December 2023.

Larry is an adjunct professor at Osgoode Hall Law School and Queens University Law Faculty where he teaches *US Securities Law in a Comparative Context* and *Introduction to Canadian Securities Regulation* respectively co-developed and co-directs Osgoode's Certificate program in *Canadian Financial Services Regulation, Analysis and Decision-Making*, has taught at the Institute of Corporate Director's Education Program and has lectured and written on various legal governance, enforcement, and regulatory topics. He chairs the independent review committee of a Canadian mutual fund, serves on the Special National Advisory Committee to the Integrated Markets Enforcement Team of the RCMP and has served, and currently serves on a number of not-for-profit boards and advisory committees including the Ontario Securities Commission's CEO's Advisory Committee. He received his BA in Economics and Politics from the University of Western Ontario, his LLB from Osgoode Hall Law School, and his LLM from the London School of Economics.

Awards and Recognition

- **Chambers Canada: Canada's Leading Lawyers for Business:** Recognized in Litigation: Securities (Band 1) (Ontario); White-Collar Crime & Government Investigations (Nationwide)
- **Lexpert's Leading 500 Cross-Border Lawyers: A Guide to Doing Business in Canada:** Recognized in Litigation – Securities
- **The Canadian Legal Lexpert Directory:** Recognized in White Collar Defence & Investigations; Litigation – Directors' & Officers' Liability; Litigation – Securities; [Toronto securities litigation lawyers](#)
- **Best Lawyers in Canada:** Recognized in Corporate and Commercial Litigation; Securities Law
- **Benchmark Litigation Canada:** Recognized in Dispute Resolution; Securities; White Collar Crime (Litigation Star)
- **The National Law Journal:** Recognized in Trailblazers & Pioneers: Governance, Risk & Compliance
- **Lexpert Special Edition:** Recognized in Litigation

Credentials

Education

- London School of Economics, LL.M.
- Osgoode Hall, LL.B.
- University of Western Ontario, B.A. (Economics/Political Science)

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Languages

- English

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Professional Affiliations

- RCMP IMET National Special Advisory Group, Member