



# Terence W. Doherty

Partner, Corporate

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**New York**

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Bar Admission: Ontario, 2005 New York, 2002

## Areas of Expertise

- [Banking and Financial Services](#)
- [Capital Markets](#)
- [Financial Services](#)
- [Investment Funds](#)
- [Investment Management](#)
- [Private Equity](#)
- [Securities Regulatory](#)

With over 15 years of experience, Terry offers strategic advice to Canadian and international clients with business interests in Canada based on a broad and thorough understanding of Canadian compliance and regulatory matters affecting financial institutions, dealers, advisers, investment fund managers and other market participants.

Terry's practice focuses on the regulation of securities, futures, derivatives and marketplace regulation, including trading compliance and Canadian SRO rules. He has extensive experience advising clients with respect to cross-border public and private offerings of securities, structured products, investment and private equity fund capital raising and formation, and with respect to other alternative investment vehicles. Terry also advises clients on anti-money laundering regulation and in connection with investigations and enforcement matters.

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## Community Involvement

- Past Director, National Society of Compliance Professionals, 2014 to 2016

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## Credentials

Education

- Brooklyn Law School, J.D.
- Wilfrid Laurier University, B.A.
- Canadian Securities Institute, Trader Training Course

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Professional Affiliations

- Law Society of Ontario
- Canadian Bar Association
- New York State Bar Association
- Securities Industry and Financial Markets Association, Legal and Compliance Division